

# Certification and Agreement for Funding for the American Rescue Plan Elementary and Secondary Education Relief Fund (ARP ESSER)

## BACKGROUND

### Purpose

The American Rescue Plan Act (ARP) 2021 was signed into law on March 11, 2021 and provides an additional \$122.8 billion for the Elementary and Secondary School Emergency Relief Fund (ARP ESSER Fund). ARP ESSER Fund awards to SEAs are in the same proportion as each State received funds under Part A of Title I of the Elementary and Secondary Education Act of 1965, as amended, in fiscal year 2020.

The Utah State Board of Education (USBE) is distributing these funds by application in alignment with the federal distribution formula. This new funding is intended to help local education agencies safely reopen schools, measure and effectively address accelerated learning, and take other actions to mitigate the impact of COVID-19 on the students and families who depend on our K-12 schools.

Although the ARP ESSER uses of funds are similar to those for ESSER I and ESSER II, there are important distinctions between the ARP ESSER and other ESSER programs, including the period of funds availability, equitable services to non-public schools, maintenance of effort, and a report on efforts to measure and address accelerated learning. LEAs may plan to use all remaining ESSER I and ESSER II funds before making use of the ARP ESSER funds, given the shorter remaining period of availability, however this is not a requirement. Please consult the accompanying [fact sheet](#) to learn more about the ARP ESSER program.

As part of the required state application for the U.S. Department of Education, USBE leaders met with a diverse group of stakeholders to get input on statewide needs in May 2021. USBE identified the following three issues currently facing students and schools across Utah as a result of or in response to the COVID-19 pandemic. We urge LEAs to consider these priority areas in designing plans for the use of ARP ESSER funds.

1. Student mental health and social emotional needs including student health foundations and protective factors.
2. Missing or Disengaged Students in K-12
3. Literacy

The ARP ESSER funds require that an LEA engage in meaningful consultation with stakeholders in the development of this plan. The LEA will assure that the public has been provided the opportunity to provide input to the LEA ARP ESSER plan, that the LEA has taken the public input into account, and that the local school board has adopted the LEA's plan for the use of ARP ESSER funds in an open and public meeting.

## Federal Allowable Use Cases

Generally, in determining whether an activity is an allowable use of funds, a State or LEA must determine:

- Is the use of funds intended to prevent, prepare for, or respond to the COVID-19 pandemic, including its impact on the social, emotional, mental health, and academic needs of students?
- Does the use of funds fall under one of the authorized uses of ESSER or GEER funds?
- Is the use of funds permissible under the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance, 2 CFR Part 200)? In particular, is it necessary and reasonable for the performance of the ARP ESSER award?

The use cases as described in the federal American Rescue Plan legislation is detailed below. In addition, LEAs may consider the following [companion guidance that was created by the Hunt Institute](#) to support states and LEAs.

### Uses of Funds. —A local educational agency that receives funds from ARP ESSER:

1. Shall reserve not less than 20 percent of such funds to address learning loss through the implementation of evidence-based interventions, such as summer learning or summer enrichment, extended day, comprehensive afterschool programs, or extended school year programs, and ensure that such interventions respond to students' academic, social, and emotional needs and address the disproportionate impact of the coronavirus on the student subgroups described in section 1111(b)(2)(B)(xi) of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 6311(b)(2)(B)(xi)), students experiencing homelessness, and children and youth in foster care; and

Shall use the remaining funds for any of the following:

2. Any activity authorized by the Elementary and Secondary Education Act of 1965.
3. Any activity authorized by the Individuals with Disabilities Education Act.
4. Any activity authorized by the Adult Education and Family Literacy Act.
5. Any activity authorized by the Carl D. Perkins Career and Technical Education Act of 2006.
6. Coordination of preparedness and response efforts of local educational agencies with State, local, Tribal, and territorial public health departments, and other relevant agencies, to improve coordinated responses among such entities to prevent, prepare for, and respond to coronavirus.
7. Activities to address the unique needs of low-income children or students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and foster care youth, including how outreach and service delivery will meet the needs of each population.
8. Developing and implementing procedures and systems to improve the preparedness and response efforts of local educational agencies.
9. Training and professional development for staff of the local educational agency on sanitation and minimizing the spread of infectious diseases.
10. Purchasing supplies to sanitize and clean the facilities of a local educational agency, including buildings operated by such agency.
11. Planning for, coordinating, and implementing activities during long-term closures, including providing meals to eligible students, providing technology for online learning to all students, providing guidance for carrying out requirements under the Individuals with Disabilities Education

Act and ensuring other educational services can continue to be provided consistent with all Federal, State, and local requirements.

12. Purchasing educational technology (including hardware, software, and connectivity) for students who are served by the local educational agency that aids in regular and substantive educational interaction between students and their classroom instructors, including low-income students and children with disabilities, which may include assistive technology or adaptive equipment.
13. Providing mental health services and supports, including through the implementation of evidence-based full-service community schools.
14. Planning and implementing activities related to summer learning and supplemental afterschool programs, including providing classroom instruction or online learning during the summer months and addressing the needs of low-income students, children with disabilities, English learners, migrant students, students experiencing homelessness, and children in foster care.
15. Addressing learning loss among students, including low-income students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and children and youth in foster care, of the local educational agency, including by—
  - (i) administering and using high-quality assessments that are valid and reliable, to accurately assess students' academic progress and assist educators in meeting students' academic needs, including through differentiating instruction;
  - (ii) implementing evidence-based activities to meet the comprehensive needs of students;
  - (iii) providing information and assistance to parents and families on how they can effectively support students, including in a distance learning environment; and
  - (iv) tracking student attendance and improving student engagement in distance education.
16. School facility repairs and improvements to enable operation of schools to reduce risk of virus transmission and exposure to environmental health hazards, and to support student health needs.
17. Inspection, testing, maintenance, repair, replacement, and upgrade projects to improve the indoor air quality in school facilities, including mechanical and non-mechanical heating, ventilation, and air conditioning systems, filtering, purification and other air cleaning, fans, control systems, and window and door repair and replacement.
18. Developing strategies and implementing public health protocols including, to the greatest extent practicable, policies in line with guidance from the Centers for Disease Control and Prevention for the reopening and operation of school facilities to effectively maintain the health and safety of students, educators, and other staff.
19. Other activities that are necessary to maintain the operation of and continuity of services in local educational agencies and continuing to employ existing staff of the local educational agency.

### **Non-Allowable Use Cases**

The Department generally does not consider the following to be an allowable use of ESSER funds, under any part of section 313:

1. subsidizing or offsetting executive salaries and benefits of individuals who are not employees of the LEAs
2. expenditures related to state or local teacher or faculty unions or associations

## Funding

The USBE intends to award funding to eligible LEAs based on FFY 2020 Title I-A allocations as directed by the U.S. Department of Education. The USBE has also established a base allocation for all LEAs using the state set aside as we did with the original ESSER I and ESSER II awards.

### *One-Time Funding*

LEAs should note that the ARP ESSER is one-time funding that must be utilized by September 30, 2023 and plan expenses accordingly.

*(Please note, due to the federal Tydings Amendment, awardees have an additional 12-months to submit final reimbursement paperwork, which is the 09/30/24 date referenced in the fact sheet. We are encouraging all of our LEAs to make plans to spend by the end of the award period 09/30/23.)*

### *Equitable Services:*

An LEA that receives ARP ESSER funds under this grant is not required to provide equitable services to non-public school students and teachers with the ARP ESSER funding. The Utah State Board of Education is administering the new Emergency Assistance for Non-Public Schools ([Utah EANS Website](#)) program, which allows non-public schools to seek equitable services directly from USBE.

### *Maintenance of Records:*

Records pertaining to this award under 2 C.F.R. 200.334 and 34 C.F.R. 76.730, including financial records related to use of grant funds, must be retained separately from the LEAs original ESSER I funds awarded in 2020 and ESSER II awarded in 2021.

## **Application Deadline: August 20, 2021 at 5:00 PM in the Utah Grants Management System**

Applications will be reviewed on a rolling basis through the Utah Grants Management system. Most outcomes are determined within 2-3 business days from submission.

### **USBE Points of Contact:**

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## LEA Application

Please complete the fields below on pages 5-15 that are highlighted for response and upload to the Utah Grants Management System along with the budget request:

<p><b>Legal Name:</b> (e.g., Juab School District)</p> <p>Esperanza Elementary</p>
<p>Contact Information for LEA Representative</p> <p><b>Name:</b> Eulogio Alejandre</p> <p><b>Position &amp; Office:</b> Executive Director</p> <p><b>Address:</b> 4956 W 3500 S, West Valley City, UT 84120</p> <p><b>Telephone:</b> 801.350.1450 Ext 304</p> <p><b>Email address:</b> eulogio.alejandre@esperanzaelementary.org</p>

## PROPOSED USE OF FUNDS

Below we are asking each LEA to provide an explanation of how the LEA intends to use the ARP ESSER funds in alignment with the allowable use cases described by the U.S. Department of Education. We encourage LEAs to prioritize **evidence-based practices** and call those out in their narrative.

### 1. UNDERSTAND & ASSESS: Student Academic and Social Emotional Needs

USBE encourages LEAs to complete a needs assessment before determining how their portion of ESSER funds will be used. LEAs should follow existing [needs assessment protocols](#) to determine the most valuable and targeted use of ESSER funds. A needs assessment should include a review of the current state as compared to the desired state, the identification of barriers to reaching the desired state, and an analysis of the potential sources of the identified barriers.

- A. **Impacts of COVID-19 on your LEA:** Please describe the areas that have been most impacted by the coronavirus on your LEA. What has been done to determine the strategies that are needed to mitigate the effects of the pandemic? Please provide any baseline data and stakeholder feedback collected to show the impacts of COVID-19.

*Esperanza Elementary was impacted significantly by the coronavirus in the following areas:*

*Social Emotional:*

- *Increase student accommodations to meet with counselor for social emotional well-being:*

*Due to closure and rolling needs for quarantining, students demonstrated a marked increase in needs for individual and group counseling support. Students exhibited increases in stress*

*responses, anxiety, concerns regarding their own and their families' well-being, and insecurities or hopelessness about the future. In addition, some students showed increased self-harm and suicidal ideation, aggression and/or withdrawal. The school made efforts to respond to these needs through the services provided by the school counselor as well as possible but had to prioritize students with the most intense presenting needs and were unable to ensure that every student received the best level of support. Esperanza also experienced challenges with missing and disengaged students. During virtual instruction, hybrid and in-person instruction, some students had higher rates of absenteeism, tardiness, and disengagement as reflected in lower rates of assignment submission and academic performance in progress monitoring, curriculum-based assessments and benchmarks.*

- *LEA saw an increase of students reported family violence and abuse during hybrid and upon returning to online.*
- *Faculty and staff experienced extreme stress due to the demands of continuing educational services during the pandemic. In addition school employees struggled with their own families' financial and social-emotional well-being challenges as their partners and other family members became ill and/or lost work, their own children had intensified academic needs and challenges transitioning between online and in-person learning.*

#### *Student Academic*

- *Data from BOY I-ready diagnostic indicated that less than 10% of students k-6 demonstrated grade level abilities upon return from school closure.*
- *Data from literacy BOY benchmark assessments indicated that Kindergarten students had a decline on their composite score from 2019-2020 MOY to 2020-21 BOY by an average of 31%.*
- *Increase of absences in K-6 students due to quarantine mandates increased learning gaps, disengagement from grade level core instruction and difficulty adjusting to changes.*
- *Covid-19 deepened the impact of disparities in access and opportunities for disadvantaged students including technological and other barriers that make it harder to stay engaged in virtual classrooms .*
- *Covid-19 significantly disrupted services and related services that students with disabilities need to support their participation and access to grade level instruction.*
- *Assessment data from RISE, AAPPL, and curriculum-based writing assessments show deficiencies in students' writing skills, abilities and performance. As a way to support students, Dr. Castillo and two other colleagues will provide professional development to faculty in implementing writers workshops among grades 2nd through 6th grade. Their professional development will consist of two professional development sessions and continuous coaching for teachers. This is in effort to support students to improve their writing development.*

## **2. DESIGN: LEA ARP ESSER Plan and Aligned Budget**

In building budgets, please keep in mind that federal funds should be utilized to supplement your state and local funds. The ARP ESSER budget submitted through the Utah Grants Management System does not have to include state and local funds, but their availability and utilization should be considered the foundation for building recovery and acceleration plans. As you are braiding various state and federal funds, be mindful that some funds expire at different times than others. The increased federal funds will enhance the opportunities that you can

offer, but we encourage you to think holistically as you assess your LEA’s situation and make plans for academic recovery and acceleration.

- A. **Plan to Address Accelerated learning:** Please provide a narrative response for how your LEA plans to use at least 20 percent of funds to address accelerated learning through the implementation of **evidence-based** interventions (e.g., providing intensive or high-dosage tutoring or accelerating learning), such as summer learning or summer enrichment, extended day, comprehensive after-school programs, or extended school year programs, and ensure that those interventions respond to students’ social, emotional, and academic needs and address the disproportionate impact of COVID-19 on underrepresented student subgroups.

The ARP Act defines the term “evidence-based” as having the meaning in section 8101(21) of the ESEA. Accordingly, “evidence-based” includes several tiers of evidence. Specifically, “evidence-based,” when used with respect to a State, LEA, or school activity, means an activity, strategy, or intervention that:

- Demonstrates a statistically significant effect on improving student outcomes or other relevant outcomes based on—
  - Strong evidence from at least one well-designed and well-implemented experimental study (“tier 1”);
  - Moderate evidence from at least one well-designed and well-implemented quasi-experimental study (“tier 2”); or
  - Promising evidence from at least one well-designed and well-implemented correlational study with statistical controls for selection bias (“tier 3”); or
- Demonstrates a rationale based on high-quality research findings or positive evaluation that such activity, strategy, or intervention is likely to improve student outcomes or other relevant outcomes and includes ongoing efforts to examine the effects of such activity, strategy, or intervention (“tier 4”).

Given the novel context created by the COVID-19 pandemic, an activity need not have generated such evidence during the COVID-19 pandemic to be considered evidence-based. The Department’s What Works Clearinghouse (available at <https://ies.ed.gov/ncee/wwc/>) identifies the tier of evidence that reviewed studies meet, as applicable. As part of the “demonstrates a rationale (tier 4)” level of evidence, grantees may develop and use approaches that are novel, if they are consistent with theoretical and empirical findings from research and the grantee will continue to review the effects of the practice to build the evidence base. Developing a logic model can help to demonstrate a rationale. Logic model resources are available at <https://ies.ed.gov/ncee/edlabs/regions/pacific/elm.asp>.

**i. Narrative for how your LEA plans use at least 20% of the total ARP ESSER award to address accelerated learning through the implementation of evidence-based outcomes.**

*Esperanza Elementary plans to use at least 20% of the total ARP ESSER award to address accelerated learning through the implementation of evidence-based outcomes by:*

*Esperanza’s social emotional health advocate will amplify the support available for students and their families through bilingual and culturally responsive support in conjunction with and under the supervision of Esperanza’s school counselor. This is a particular need for Esperanza’s students and families due to the difficulty of finding bilingual/bicultural services providers in this area. Esperanza will support this individual to enter a pathway to licensure to become a qualified mental health provider. The social emotional health advocate will focus on providing activities for students and families that strengthen student health foundations and protective factors using evidence-based curriculum. The social emotional health advocate will also reach out to students and families facing challenges causing them to miss school or be disengaged. The FBAAT system will be used to address these needs and if mental health concerns are identified, the school counselor will provide additional support.*

*As a way to provide additional academic intervention for accelerated learning to those students in need of intensive support in both math and literacy, one teacher per grade level will provide services during after school hours. Students who perform at below or well below Beginning of Year benchmark assessments will be encouraged to participate in afterschool tutoring. Tutoring will be held Monday - Thursday 3:30-5:30. Classroom teachers will conduct tutoring sessions for math twice per week and language arts twice per week in small group settings with students who are performing below or well below benchmark. Teachers who are the highest skilled professionals, will use evidence-based curriculum and data-driven instruction to ensure that students are making adequate progress to meet their learning goals. In addition, teachers will meet with parents at a minimum of once per month to discuss the progress of their students as well as coach parents on skills and strategies that they can use at home to continue their students' learning process.*

*The LEA will provide a Summer School program through June 2022 and June 2023. 120 Students who performed below benchmark and well below benchmark on End of Year evaluations will receive intervention support through the summer school program. School days will follow a regular schedule Monday through Friday. The LEA will provide a half day of academic instruction and intervention and the other half of the day will be filled with extracurricular activities including art, music, choir and chess. Two teachers per grade level 1-6 will provide instruction to their classes no more than 10 students per class. Two teacher assistants will be assigned to each class in order to allow for effective small group intervention.*

**ii. Please complete the table below for each of the activities that you will be pursuing to address learning loss.**

Evidenced-based Activity Description	Timeframe for Implementation	Estimated Total Budget for Activity	Data Source for Measuring Effect (ex. Attendance, Acadience, RISE, ACT Aspire, local measure, etc.) - Disaggregated by specific student	Baseline Measure from 2018-2019 or 2019-2020 (as applicable)	Target for measured effect at conclusion of activity



			subgroups		
<i>Students and families receive social emotional services</i>	<i>August 2021- 2022 and August 2022- June 2023</i>	<i>\$50,000 (salary and fringe for one year) \$100,000</i>	<i>Social emotional health advocate will maintain a service log as well as a pre and post parent and student survey to determine if services were effective.</i>	<i>2020 - 2021 38 students received social emotional services.</i>	<i>LEA will use pre and post parent &amp; student survey to determine effect at conclusion of activity.</i>
<i>Math and Literacy intervention provided by certified teachers after school</i>	<i>September 2021- May 2022 and September 2022- May 2023</i>	<i>\$52,430/year</i>	<i>Data on Acadience Reading and math assessment (k - 3rd grade) , Progress monitoring (k-6th Grade) and RISE benchmark modules (3-6th grade)</i>	<i>2020-2021 End of year state results in literacy and numeracy.</i>	<i>10 students per grade (k-6th) total of 70 students receive intervention for accelerated learning: 5 students per session, 2 sessions per day , 2 days per week in Language Arts, 2 days per week in Math With a target of at least 20% increase in Math and Language Arts growth.</i>
<i>Professional development for teachers and coaching support in Language Arts and Math during academic interventions</i>	<i>September 2021- May 2022 and September 2022- May 2023</i>	<i>\$40,000 (14 teachers \$2,000 per teacher, 2 coaches \$3,000 per coach, 3 administrators \$2,000 per administrator) \$80,000 for 2 years</i>	<i>Progress monitoring (k-6th Grade)</i>	<i>Not applicable</i>	<i>Teachers receive support on how to effectively administer interventions in both language arts and math with the target of at least 20% academic growth for students in math and language arts.</i>

<i>Language Arts and Math Specialists</i>	<i>August 2021- June 2022 and August 2022-June 2023</i>	<i>\$120,000 (salary and fringe for one year) \$240,000 for two years</i>	<i>BOY &amp; EOY state test scores, Acadience Reading &amp; Math and RISE scores.</i>	<i>2020-2021 End of year state results in literacy and numeracy.</i>	<i>With a target of at least 12% increase in Math and Language Arts growth.</i>
<i>Esperanza Summer School</i>	<i>June 2022 and June 2023</i>	<i>\$100,000 (wage and fringe for one year) \$200,000 for two years</i>	<i>Acadience Reading, Acadience Math, iReady End of Year Diagnostic, RISE</i>	<i>2020-2021 Approximately 60 students who performed well below proficiency in math and language arts attended summer school</i>	<i>120 students will attend summer school &amp; the program will increase a minimum of 10% reading and math student performance.</i>
<i>Workshop for parents to better support their students in 1. Utilizing online platforms for additional accelerated learning 2. Understanding their students' current levels of academic achievement compared to learning targets, and how to help their students reach learning goals</i>	<i>September 2021- May 2022 and September 2022- May 2023</i>	<i>\$39,600 in stipends for teachers to conduct workshops  \$300/workshop x 3 times per year x 22 teachers x 2 years</i>	<i>For each workshop, teachers will keep a log to mark the amount of parents that are participating.</i>		<i>In order to measure workshop effectiveness, online platform usage will be monitored. An increase in the amount spent on the platforms should be noted.  With a target of at least 12% increase in Math and Language Arts growth.</i>

**iii. What is your process for evaluating the success of the above activities and revising your ARP ESSER plan to reflect improvements?**

*The LEA will require teachers and tutors to periodically progress monitor students who will participate in after school tutoring. The measures will be used through Acadience reading and*

*Acadience math for students in grades K-3. RISE modules and i-Ready growth monitoring formative assessments will be used to monitor progress for students in grades 3-6. Progress monitoring frequency will occur once biweekly for students who are below proficiency in Language arts and math, and once weekly for students who are well below proficiency. Data review meetings with parents of student participants will be held once per month between parents and teachers. The Math and Language Arts Specialists will conduct weekly formative assessments. A stakeholder committee will review ARP ESSER plan minimum of twice per year or as needed and make revisions based on the evaluation of success of the above activities.*

- iv. **How will the LEA will ensure that the interventions it implements, including but not limited to the interventions under section 2001(e)(1) of the ARP Act to address the academic impact of lost instructional time, will respond to the academic, social, emotional, and mental health needs of all students, and particularly those students disproportionately impacted by the COVID-19 pandemic, including students from low-income families, students of color, English learners, children with disabilities, students experiencing homelessness, children and youth in foster care, and migratory students?**  
USBE encourages LEAs to reference their needs assessment to support their narrative.

*Teachers will follow the LEA requirements for progress monitoring with students who participate in the after school program. Progress monitoring results will allow teachers to adjust instruction and intervention according to the data-driven instruction practice. The LEA will conduct grade level data dive meetings three times per year after beginning, middle and end of year assessments. Teachers will be able to adjust intervention plans and identify students who may fall at risk of falling further behind in academic performance.*

*The LEA will sustain counseling services provided by a school counselor whose focus will be to emotionally and socially support students and families. Counseling session frequency group size will be adjusted based on the needs of each student. The school counselor will be working with specific students who have been impacted by the COVID-19 pandemic. Counseling services will last through the regular school year and summer school.*

**B. Plan for remainder of funds:**

Please provide a narrative response that details your LEA ARP ESSER plan for the remaining funds in alignment with the allowable use cases. We recommend you call out the federal use case language and category number in your response, as well as including your budget narrative from the Utah Grants Management System.

Esperanza Elementary plans for remainder of funds to be utilized in the following areas:

*Esperanza Elementary will provide workshops for parents and legal guardians to gain awareness and knowledge of the use of online platforms, provide information and assistance on how parents effectively support students, including in an online distance learning environment. The workshops will be presented by teachers for a biannual minimum or as needed. In an effort to*

*increase the amount of use of such digital programs by students which in turn support student learning and increase student outcomes.*

*Esperanza Elementary will prepare teachers to deliver quality instruction by providing technology equipment for students and teachers to adequately deliver and access grade level instruction. Esperanza will purchase 500 chromebooks, 24 swivls and 24 tablets, 40 mid range laptops, 25 smart boards. This will ensure that teachers present high quality instruction to all students , including in a case where distance learning is taking place due to pandemic-related quarantining, illness, temporary or extended disruptions to in-person learning.*

*To improve air quality an industrial fan will be purchased for each classroom ( a total of 30 fans) to help with ventilation and air flow*

*To increase space available to tutor students for accelerated learning and allow for social distancing, the LEA requires sound panels installed in the cafeteria otherwise, the noise nevel is prohibited to learning.*

*To address learning loss among students, including low-income students, children with disabilities, English learners, racial and ethnic minorities, students experiencing homelessness, and children and youth in foster care, Esperanza will purchase supplementary literacy material to support reading literacy at home and school.*

*Esperanza Elementary will purchase supplies to sanitize and clean facilities, classrooms, cafeteria & high traffic areas to reduce the spread and exposure of covid-19.*

### 3. Implementation and Policy

#### A. LEA Safe Return to In-Person Instruction Commitment

An LEA that receives ARP ESSER funds must, within 30 days of receiving the funds, make publicly available on its website a plan for the safe return to in-person instruction and continuity of services by addressing the mitigation strategies described by the federal legislation and interim final rule. Before making the plan publicly available, the LEA must seek public comment on the plan.

We recognize that for most Utah LEAs, schools are already providing this in-person instruction. The requirements outlined below are in compliance with the ARP ESSER award, and should speak to the plans for school in fall 2021. **Please complete the “LEA Response” within the table below for each of the mitigation strategies describing if the LEA has any policies and what those policies are for the given mitigation strategy.**

Mitigation strategy	USBE and UDOH Guidance Resources	LEA Response:
Universal and correct wearing of masks	<a href="https://coronavirus.utah.gov/mask/">https://coronavirus.utah.gov/mask/</a>	Our LEA will continue to work with our local health department to determine the policies and actions that are

	<p><a href="https://www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/cloth-face-cover-guidance.html">https://www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/cloth-face-cover-guidance.html</a></p> <p><a href="https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf">https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf</a> -page 105-109</p>	<p><i>necessary to respond to the current status of COVID-19 in our context.</i></p>
Physical distancing (e.g., including use of cohorts/podding)	<p><a href="https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf">https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf</a> -page 101-102</p>	<p><i>We will continue to use the guidelines reflected in the COVID-19 school manual.</i></p>
Handwashing and respiratory etiquette	<p><a href="https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf">https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf</a> -page 109-111</p>	<p><i>Our LEA will require all school staff and students to wash hands before and after mealtimes, and after using restroom facilities. We will continue to follow guidelines reflected in the COVID-19 school manual.</i></p>
Cleaning and maintaining healthy facilities, including improving ventilation	<p><a href="https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf">https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf</a> -page 75-84</p>	<p><i>Our LEA will provide a cleaning and disinfecting of all classrooms using a hose sprayer, once per day. We will require students to regularly disinfect surfaces after mealtimes and at dismissal. We will continue to follow guidelines as outlined in the COVID-19 school manual.</i></p>
Contact tracing in combination with isolation and quarantine, in collaboration with the State, local, territorial, or Tribal health departments	<p><a href="https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf">https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf</a> - page 27-35</p>	<p><i>Our LEA will follow guidelines as provided by the Utah State Health Department in the event of exposure to COVID-19, including contact tracing and quarantine requirements.</i></p>
Diagnostic and screening testing	<p><a href="https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf">https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf</a></p>	<p><i>In the event of a person or child exhibiting symptoms, we will require isolation and testing requirements as outlined in the COVID-19 manual.</i></p>

	<a href="#">19 School Manual FINAL.pdf</a> -page 37-43; 46-51; 52-56	
Efforts to provide vaccinations to educators, other staff, and students, if eligible	<a href="https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf">https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf</a> -page 57-59	LEA will continue to provide vaccination information to educators, other staff, and students, if eligible.
Appropriate accommodations for children with disabilities with respect to the health and safety policies	<a href="https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf">https://coronavirus-download.utah.gov/School/COVID-19_School_Manual_FINAL.pdf</a> -page 106, 109, 114	Our LEA will require appropriate accommodations for children with disabilities and children with health and safety needs. We will continue to follow the guidelines as outlined in the COVID-19 manual.

- B. Please provide a link to your website where you will post this plan within 30 days of receiving the funds, to make it publicly available as required in federal legislation and rule.** This link will be made available on the USBE website and be provided to the U.S. Department of Education.

<http://esperanzaelementary.org>

#### 4. GEPA Section 427 (20 U.S.C. 1228a) Compliance

##### A. LEA Description of GEPA Compliance

Please describe how the LEA will comply with the requirements of GEPA Section 427 (20 U.S.C. 1228a). Comprehensive GEPA requirements are listed under the assurances section of this document. Your description must include information on the steps the LEA proposes to take to permit students, teachers, and other program beneficiaries to overcome barriers (including barriers based on gender, race, color, national origin, disability, and age) that impede access to, or participation in, the program.

##### Description of GEPA Compliance:

*Esperanza will use the ARP ESSER funds to increase safety, enhance the social emotional well-being of our students, teachers and staff, and accelerate learning. These funds will also be used to provide professional development opportunities to our teachers, that supports the above stated funds' use. The LEA will ensure that no student or teacher will be denied participation based on gender, race, national origin, disability or age.*

## ASSURANCES

The superintendent or charter school director assures the following:

### Plan Development and Approval Requirements

- The LEA must engage in meaningful consultation with stakeholders, including, but not limited to:
  - i. students;
  - ii. families;
  - iii. school and district administrators (including special education administrators); and
  - iv. teachers, principals, school leaders, other educators, school staff, and their unions.
- The LEA must also engage in meaningful consultation with each of the following to the extent present in or served by the LEA:
  - i. Tribes;
  - ii. civil rights organizations (including disability rights organizations); and
  - iii. stakeholders representing the interests of children with disabilities, English learners, children experiencing homelessness, children and youth in foster care, migratory students, children who are incarcerated, and other underserved students.
- The LEA assures that the public has been provided the opportunity to provide input to the development of this plan.
- The LEA has taken the public input into account in developing this plan.
- The local school board has adopted the LEA's plan for the use of ARP ESSER funds in an open and public meeting.

### **Programmatic Requirements**

- LEA plans to use at least 20 percent of funds to address accelerated learning through the implementation of evidence-based interventions and ensure that those interventions respond to students' social, emotional, and academic needs and address the disproportionate impact of COVID-19 on underrepresented student subgroups.
  - To put a greater focus on social and emotional learning the Utah State Board of Education will be using the term "accelerated learning" in place of "learning loss" and assure that the use of this term is in alignment with the federal use of "learning loss."
- The LEA will only use the ARP ESSER grant Coronavirus Relief Fund to cover costs that—
  - Are necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID-19)
- The LEA will implement evidence-based interventions, as required by section 2001(e)(1) of the ARP Act
- The LEAs will address the disproportionate impact of the COVID-19 pandemic on underserved students (i.e., students from low-income families, students from racial or ethnic groups, gender, English learners, children with disabilities, students experiencing homelessness, children and youth in foster care, and migratory students), as required by section 2001(e)(1) of the ARP Act
- LEAs that receive ARP ESSER funds meet the requirements in section 2001(i) of the ARP Act and the requirements relating to the ARP ESSER funds published in the Federal Register and available at <https://oese.ed.gov/offices/american-rescue-plan/american-rescue-plan-elementary-and-secondary-school-emergency-relief/> (ARP ESSER requirements) within 30 days of receipt of the funds, develop and make publicly available on the LEA's website a plan for the safe return to in-person instruction and continuity of services.
  - Before making the plan publicly available, the LEA must seek public comment on the plan.
  - The LEA ARP ESSER plan includes adoption or the extent of adoption of the policies and strategies that provide continuity of services including but not limited to services to address the students' academic needs, and students' and staff social, emotional, mental health, and other needs, which may include student health and food services in the LEA ARP ESSER plan template.
  - The LEA will periodically review and revise their plan for the safe return to in-person instruction and continuity of services, no less frequently than every six months for the duration of the ARP

ESSER grant (through September 30, 2023) as part of the grant administration and monitoring process.

- Each LEA will be required to submit an assurance that this step has been completed during COVID-19 relief funding reporting and fiscal year close out occurs twice a year. This step will be required, and LEA reimbursements will be placed on hold until the assurance and submission of updated link has been provided to USBE.
- All posted LEA plans have a point of contact and/or survey form included on the website where the plan for the safe return to in-person instruction and continuity of services is published to allow for public feedback and input throughout the calendar year.
- The LEA will consider all public feedback in reviewing and making any revisions to the plan. The plan and any subsequent and substantive changes will be required to be approved by the local LEA school board in a public meeting.
- An LEA that receives ARP ESSER funds under this grant is **not required** to provide equitable services to non-public school students and teachers with the ARP ESSER funding. All non-public schools can seek funding support from USBE through the Emergency Assistance for Non-Public Schools (EANS) program. Please refer them to the USBE website: <https://schools.utah.gov/coronavirus?mid=4985&aid=10>
- When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, U.S. Department of Education grantees shall clearly state:
  - the percentage of the total costs of the program or project which will be financed with Federal money;
  - the dollar amount of Federal funds for the project or program; and
  - the percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.
  - Recipients must comply with these conditions under Division B, Title V, Section 505 of Public Law 115-245, Consolidated Appropriations Act, 2019.
- Grantees and subgrantees that receive grant funds under programs of the Department are responsible for maintaining internal controls regarding the management of Federal program funds under the Uniform Guidance in 2 CFR 200.302 and 200.303. In addition, grantees are responsible for ensuring that subgrantees are aware of the cash management and requirements in 2 CFR part 200, subpart D.

## Fiscal Requirements

- Grantees and subgrantees that receive grant funds under programs of the Department are responsible for maintaining internal controls regarding the management of Federal program funds under the Uniform Guidance in 2 CFR 200.302 and 200.303. In addition, grantees are responsible for ensuring that subgrantees are aware of the cash management and requirements in 2 CFR part 200, subpart D.

### *Local Educational Agency Maintenance of Equity for High-Poverty Schools*

- Section 2004(c) of the ARP Act stipulates that an LEA, as a condition of receiving ARP ESSER funds under section 2001, a local educational agency shall not, in fiscal year 2022 or 2023—
  - Reduce per-pupil funding (from combined State and local funding) for any high-poverty school served by such local educational agency by an amount that exceeds—
    - The total reduction in local educational agency funding (from combined State and local funding) for all schools served by the local educational agency in such fiscal year (if any); divided by



- The number of children enrolled in all schools served by the local educational agency in such fiscal year; or
- Reduce per-pupil, full-time equivalent staff in any high-poverty school by an amount that exceeds—
  - The total reduction in full-time equivalent staff in all schools served by such local educational agency in such fiscal year (if any); divided by
  - The number of children enrolled in all schools served by the local educational agency in such fiscal year.
- Exception: The above condition shall not apply to a local educational agency in federal fiscal year 2022 or 2023 that meets at least 1 of the following criteria in such fiscal year:
  - Such local educational agency has a total enrollment of less than 1,000 students.
  - Such local educational agency operates a single school.
  - Such local educational agency serves all students within each grade span with a single school.
  - Such local educational agency demonstrates an exceptional or uncontrollable circumstance, such as unpredictable changes in student enrollment or a precipitous decline in the financial resources of such agency, as determined by the Secretary of Education.

### **Reporting Requirements for ARP ESSER**

As described in the Grant Award Notification (“GAN”), USBE will ensure that its LEAs comply with, all reporting requirements at such time and in such manner and containing such information as the Secretary may reasonably require, including on matters such as:

- The LEA will comply with all reporting requirements at such time and in such manner and contain such information as the federal government, state lawmakers, and USBE may reasonably require in the future.
  - Records pertaining to this award under 2 C.F.R. 200.334 and 34 C.F.R. 76.730, including financial records related to use of grant funds, must be retained separately from the LEAs ESSER I funds (awarded in 2020) and ESSER II funds (awarded in 2021).
- How the LEA is developing strategies and implementing public health protocols including, to the greatest extent practicable, policies and plans in line with the CDC guidance related to mitigating COVID-19 in schools;
- Overall plans and policies related to LEA support for return to in-person instruction and maximizing in-person instruction time, including how funds will support a return to and maximize in-person instruction time, and advance equity and inclusivity in participation in in-person instruction;
- Data on each school’s mode of instruction (fully in-person, hybrid, and fully remote) and conditions;
- LEA uses of funds to meet students’ social, emotional, and academic needs, including through summer enrichment programming and other evidence-based interventions, and how they advance equity for underserved students;
- LEA uses of funds to sustain and support access to early childhood education programs;
- Impacts and outcomes (disaggregated by student subgroup) through use of ARP ESSER funding (e.g., quantitative and qualitative results of ARP ESSER funding, including on personnel, student learning, and budgeting at the school and district level);
- Student data (disaggregated by student subgroup) related to how the COVID-19 pandemic has affected instruction and learning;
- Requirements under the Federal Financial Accountability Transparency Act (“FFATA”); and

- Additional reporting requirements may be necessary to ensure accountability and transparency of ARP ESSER funds.

## Records Retention

- The LEA will cooperate with any examination of records with respect to ARP ESSER grant by making records available for inspection, production, and examination, and authorized individuals available for interview and examination, upon the request of (i) the governor; (ii) the Department of Education and/or its Inspector General; or (iii) any other federal agency, commission, or department in the lawful exercise of its jurisdiction and authority.

## General Education Provisions Act (GEPA) Requirements for LEAs

### *Section 442 (20 U.S.C. 1232e) Assurances*

- Any LEA receiving funding under this program will have on file with the SEA a set of assurances that meets the requirements of section 442 of the General Education Provisions Act (GEPA) (20 U.S.C. 1232e).
- To the extent applicable, an LEA will include in its local application a description of how the LEA will comply with the requirements of section 427 of GEPA (20 U.S.C. 1228a). The description must include information on the steps the LEA proposes to take to permit students, teachers, and other program beneficiaries to overcome barriers (including barriers based on gender, race, color, national origin, disability, and age) that impede equal access to, or participation in, the program.
- The LEA will administer ARP ESSER funds in accordance with all applicable statutes, regulations, program plans, and applications;
- The control of funds provided to the LEA, and title to property acquired with those funds, will be in a public agency and that a public agency will administer those funds and property;
- The LEA will use fiscal control and fund accounting procedures that will ensure proper disbursement of, and accounting for, ARP ESSER funds paid to that agency;
- The LEA will make reports to the governor and to the U.S. Secretary of Education as may reasonably be necessary to enable the governor and the Secretary to perform their duties and that the local educational agency will maintain such records, including the records required under section 443, and provide access to those records, as the governor or the Secretary deem necessary to perform their duties;
- The LEA will provide reasonable opportunities for the participation by teachers, parents, and other interested agencies, organizations, and individuals in the planning for and operation of ARP ESSER fund expenditures;
- Any application, evaluation, periodic program plan or report relating to ARP ESSER will be made readily available to parents and other members of the general public;
- In the case of any project involving construction—
  - The project is not inconsistent with overall State plans for the construction of school facilities, and
  - In developing plans for construction, due consideration will be given to excellence of architecture and design and to compliance with standards prescribed by the Secretary under section 504 of the Rehabilitation Act of 1973 in order to ensure that facilities constructed with the use of Federal funds are accessible to and usable by individuals with disabilities
- The LEA has adopted effective procedures for acquiring and disseminating to teachers and administrators participating in each program significant information from educational research, demonstrations, and similar projects, and for adopting, where appropriate, promising educational practices developed through such projects; and

- None of the funds expended under ARP ESSER will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the purchasing entity or its employees or any affiliate of such an organization.

**Assurance on Lobbying (34 CFR part 82)**

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

To the best of my knowledge and belief, all of the information and data in this certification and agreement are true and correct. I acknowledge and agree that the failure to comply with all Assurances and Certifications in this Agreement, all relevant provisions and requirements of the American Rescue Plan Act or ARP Act, Text - H.R.1319 - 117th Congress (2021-2022): American Rescue Plan Act of 2021. (2021, March 11). <https://www.congress.gov/bill/117th-congress/house-bill/1319/text>, or any other applicable law or regulation may result in liability under the False Claims Act, 31 U.S.C. § 3729, et seq.; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and 18 USC § 1001, as appropriate.

**Superintendent or Charter School Director (Typed Name):**

Eulogio Alejandre

**Telephone:**

**(801) 305-1450 ext. 304**

Signature of Superintendent or Charter School Director*:	Date:
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*\*Digital signatures are preferred and require a timestamp for authentication. If a digital signature is not possible, USBE will accept a handwritten signature uploaded as a PDF.*